

#2 9-27-01

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

13768190

In Re Application Of: Sharad Mathur and Gregory P. Baribault

U.S. PTO  
09/781823

02/12/01

Serial No.  
Not Yet Assigned

Filing Date  
February 12, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
Not Yet Assigned

Title: **COMPRESSING MESSAGES ON A PER SEMANTIC COMPONENT BASIS WHILE MAINTAINING A DEGREE OF HUMAN READABILITY**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Jc971 U.S. PTO  
 09/781823  
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### Payment of Fee

**(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))**

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

### Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**Signature of Person Mailing Correspondence**

***Typed or Printed Name of Person Mailing Correspondence***

**\*This certificate may only be used if paying by deposit account.**

  
Signature

**Signature**

Dated: February 12, 2001

**Adrian J. Lee**  
**Attorney for Applicant**  
**Registration No.: 42,785**



022913

PATENT TRADEMARK OFFICE

CC:

*(Use several sheets if necessary)*

Application Number	Not Yet
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**Applicant(s)**  
**Sharad Mathur & Gregory P. Baribault**

Filing Date  
February 12, 2001

Group Art Unit	3
Not Yet Assigned	

U.S. POSTAGE  
05/781823  
02/13/83

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	1	"Text Mining: A New Frontier for Lossless Compression" Ian H. Witten, Zane Bray, Malika Mahoui, & Bill Teahan IEEE 1999, pages 198-207

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.